



DOCKET NO: I0168-7076.19

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

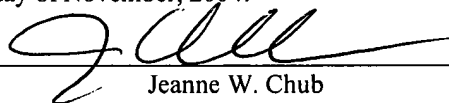
Applicant: Anil D. Jha, et al.  
Serial No: 10/712,621  
Confirmation No: 2148  
Filed: November 13, 2003  
For: WATER TREATMENT SYSTEM AND METHOD

Examiner: Not yet assigned  
Art Unit: 1723

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 23 day of November, 2004.

  
Jeanne W. Chub

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Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith are the following documents:

- ☒ Information Disclosure Statement, Form PTO-1449 and Cited References
- ☒ Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 395-7000.

A check is not enclosed. If a fee is required, the Commissioner is hereby authorized to charge Deposit Account No. 50/0214. A duplicate of this sheet is enclosed.

Respectfully submitted,  
*Anil D. Jha, et al., Applicants*

By: 

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Cambridge, MA 02142  
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Date: November 23, 2004  
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Jeanne W. Chub

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Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
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**STATEMENT FILED PURSUANT TO THE DUTY OF  
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98**

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

**PART I: Compliance with 37 C.F.R. §1.97**

This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case.

No fee or certification is required.

PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

RO 114874 appears to be directed to a process for making support boards for filter cells, fluid distribution system of micro- and ultrafiltration filter and a mold for manufacturing the same.

PART III: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

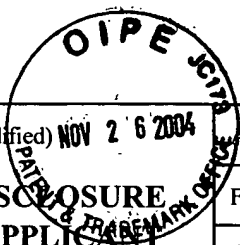
An early and favorable action is hereby requested.

Respectfully submitted,  
*Anil D. Jha, et al., Applicants*

By: 

Peter C. Lando, Reg. No. 34,654  
Elias Domingo, Reg. No. 52,827  
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Cambridge, Massachusetts 02142  
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Docket No. I0168-7076.19  
Date: November 23, 2004



FORM PTO-1449/A and B (Modified)		APPLICATION NO.: 10/712,621	ATTY. DOCKET NO.: I0168-7076.19
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		FILING DATE: November 13, 2003	CONFIRMATION NO.: 2148
		APPLICANT: Anil D. Jha, et al.	
		GROUP ART UNIT: 1723	EXAMINER: Not yet assigned
Sheet	1	of	1

#### U.S. PATENT DOCUMENTS

Examiner's Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYYY
		Number	Kind Code		
	*	3,869,375	A	Ono et al.	03-04-1975
	*	4,915,803	A	Morris	04-10-1990
	*	5,928,807	A	Elias	07-27-1999
	*	6,171,374	B1	Barton et al.	01-09-2001
	*	6,766,812	B1	Gadini	07-27-2004

#### FOREIGN PATENT DOCUMENTS

Examiner's Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document (not necessary)	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/ Country	Number	Kind Code			
		GB	1 381 681	A	Rhone Poulenc S.A.	01-22-1975	
		RO	114 874	B	S.C. Industrial Etans S.R.L.	08-30-1999	
		WO	03/086590	A1	United States Filter Corporation	10-23-2003	

#### OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)

EXAMINER	DATE CONSIDERED
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#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*a copy of this reference is not provided as the reference is a published U.S. Patent or U.S. Patent application and the present application was filed after June 30, 2003.